

Summary

Accomplished Chief Admin Officer and Chief of Staff with 20+ years of expertise in banking, fintech, and startups across New York, Hong Kong, and UAE. A trusted "right hand" to senior leadership, known for executing cross-functional initiatives, driving business performance, and building operational alignment. Skilled in transformation, risk management, internal controls, and financial modeling, with an MBA from NYU Stern and a Bachelor's in Accounting.

Professional Experience

Five Star Bank

Director of Strategy, Operations & Technology (SVP)

Amherst, NY | 12/2022 - 6/2024

- Led the SOT division of 97 associates across tech, ops, product and business analysis.
- Implemented Banking as a Service (BaaS) across SOT functions to grow deposits from zero to over \$125M while ensuring business continuity and compliance.
- Aggressively managed the bank's tech budget.
- Accelerated product launches by over two months with reduction in mitigation needs.
- Optimized product roadmap with a 50% reduction in deposit products, standardizing terms to enhance compliance, operational efficiency, and customer experience.
- Drove AI adoption within cybersecurity frameworks to improve efficiency and security.
- Represented SOT org in regulatory matters and exams (Fed, FHLB & NYS DFS).

Deep Water Management

Principal Consultant

Hong Kong | 2/2020 - 09/2022

- Advised fintech and startup projects in Hong Kong and the USA.
- Managed part-time consulting while serving as primary parental caregiver during COVID-19.

Loopnest

COO

Hong Kong | 12/2018 - 1/2020

- Launched Hong Kong's first tokenized Cayman fund, HCS Whisky.
- Developed complete digital asset programs for two SFC-licensed brokerages.
- Directed strategic pivot from incubation to build robust fund operations.
- Managed securities license applications and developed financial models for decentralized exchange services.

Deep Water Management

Principal Consultant

Hong Kong | 4/2016 - 11/2018

- Provided interim management, business planning, and pitch services for startups.
- Raised capital and won pitch competitions for the Yomee product line for Lecker Labs.
- Co-managed FranManCo's expansion into Greater China.
- Organized >100 person events for Pernod Ricard, Toyota, & Wyndham Hotels executives.

Morgan Stanley

Risk Officer and CAO of AsiaPac Finance Division (VP)

Hong Kong | 8/2010 - 12/2015

- Managed infoSec, compliance, internal controls, incident response, and training.
- Implemented regional governance framework and enhanced business continuity plans.
- Governed legal entity risk and closed over 100 consolidated legal entities.

Previous Roles

- Montgomery Woodrow: Outsourced CFO and Credit ; Dubai, UAE (6/2009 - 7/2010)
- SHUAA Capital: Head of Ops & PMO (SVP); Dubai, UAE (11/2008 - 6/2009)
- Glitnir Capital: Director of Finance & Administration; New York, NY (10/2007 - 11/2008)
- Morgan Stanley: Senior Manager; New York, NY (9/2005 - 9/2007)
- Self-Employed: SOX and Turnaround Consultant; New York, NY (3/2003 - 8/2005)
- Hottinger Capital Corp: Associate; New York, NY (5/2001 - 2/2003)
- Arthur Andersen: Senior Associate; New York, NY (11/1998 - 9/2000)

Education

New York University Stern School of Business

MBA in Finance | 2002

Dominican College

Bachelor's in Accounting | 1998

Professional Examinations

- CPA Examination in New York State, 1998
- Leveraged Foreign Exchange Trader's Responsible Officer Exam in Hong Kong, 2016
- HKSI Paper 1 - Fundamentals of Securities and Futures Regulation, 2019
- HKSI Paper 2 - Regulation of Securities, 2019
- HKSI Paper 6 - Regulation of Asset Management, 2019